

DRAFT CAPITAL PROGRAMME FOR 2010/11 – 2012/13

EXECUTIVE MEMBER: Cllr Elaine Woodburn, Leader of the Council
LEAD OFFICER: Julie Crellin, Head of Finance and Management
Information Systems
REPORT AUTHOR: Ann Treble, Capital Accountant

Summary:

The purpose of this report is to seek Council's approval of the proposed Capital Programme 2010/11 – 2012/13. This proposed Capital Programme has been reviewed and considered by Resources Working Group and Executive agreed the final proposal for recommendation to Council, at its meeting of 16th February 2010. The report sets out the proposed financing for the Programme and the estimated capital resources available to fund it.

If approved, this capital investment will assist in ensuring the Council delivers its front line services and meet its key objectives and priorities over the next 3 years as set out in its Corporate Plan.

Recommendation:

Council is recommended to :-

- (i) To approve, in principle, the three year capital programme for 2010/11 – 2012/13, summarised in Appendix A, subject to further appraisal of each scheme.
- (ii) To note that before new schemes commence, they are to be further appraised by a PID being prepared and presented to Corporate Improvement Board and formally approved by Executive.
- (iii) To note the proposed capital programme for 2010/11-2012/13, of £11,309,744 and that this could be funded from capital receipts reserve and drawing upon existing borrowing, assuming £7,636,500 of capital receipts are realised in the three year period.
- (iv) To note the make up of the proposed programme expenditure being:
 - a) Managed commitment from 2009/10 of £1,663,744 (as indicated in Q1, Q2 & Q3 monitoring).

- b) Existing capital schemes already approved in 2009/10 programme of £1,928,790 and
 - c) New schemes of £7,717,210
- (v) To note that 35.56% of the proposed capital programme will be funded from external grants and contributions from external agencies
 - (vi) To note the inclusion of a 'Schemes under development' project relating to the potential development of Albion Square which may result in a requirement to fund (from existing borrowing). This represents forward planning on the part of the Council at this early stage and Executive will receive further reports as necessary.
 - (vii) Note the use of the Residual Right to Buy reserve to fund the housing capital programme, but the draw down on this reserve from 2011/12 will be subject to review and formal agreement, linked to an assessment of Council priorities and other external funding opportunities. Therefore, the funding requirement included in the programme for 2011/12+ can only be viewed as provisional at this stage in respect of housing. (para 3.7).

1 INTRODUCTION

- 1.1 Each year the Council updates its three year rolling capital programme for the purchase of tangible and intangible fixed assets, bringing them into use and enhancing them as defined in Accounting Standards.
- 1.2 There are several sources of funding available for the capital programme, including the option of prudential borrowing, use of existing borrowing, the application of capital receipts, revenue contributions to capital expenditure and the use of external funding and grants. The capital bids have been reviewed with the Service Managers to explore all sources of finance and, external sources of finance have been used wherever possible.
- 1.3 There are strict definitions of what constitutes capital expenditure and whilst resources other than capital can be utilised to fund capital expenditure, capital resources can only be used to fund capital expenditure.
- 1.4 It must be noted, however, that the capital receipts generated during the lifetime of the programme are estimates at this stage, and in the light of the current economic downturn, the need to consider flexibly the programme against resources is even more critical. The capital programme indicates a drawdown of capital reserves over the three year period of £5,255,061. The forecast opening balance at the beginning of

- 1.5 The proposal presented today was discussed by the Resources Planning Working Group at its both its meetings in January, following presentations by Managers in October, December and January . In recommending the Programme to Executive for its recommendation to Council, RPWG recommended that the Programme be agreed in principle, but that before new starts commence, the schemes are further appraised via a PID being prepared and presented to Corporate Improvement Board. Executive reviewed the report at its meeting of 16th February 2010 adding the additional request that the schemes are formally agreed by Executive, subsequent to being presented to Corporate Improvement Board and the report today ~~is~~ contains the final draft proposal for Council to consider.
- 1.6 This paper details the Capital Bids put forward, for inclusion in the Capital Programme for 2010/11 and beyond, as well as the existing Capital Programme for 2010/11, 2011/12, to give the proposed capital programme for the three years 2010/11-2012/13, and how they will be funded.
- 1.7 When considering approval of capital bids, we need to ensure that:-
- our spending decisions are meeting our key priorities
 - we would not fail to meet our statutory duties if a scheme was not approved
 - consideration has been given to sources of funding available
 - we have maximised external funding on all bids (where appropriate)
 - all revenue costs/savings as well as capital costs have been considered
- 1.8 Council is being asked to recommend approval in principle, the three year capital programme for 2010/11-2012/13. Before new schemes commence, they are to be further appraised via a PID being prepared and presented to Corporate Improvement Board, and then formally approved by Executive. The PID will be used:
- To ensure that the project has a sound basis before the commencement of the scheme.
 - To establish what the project is aiming to achieve
 - Why it is important to achieve it (meeting the Council's key priorities)
 - Who is going to be involved in the project and what their responsibilities are
 - How and when it is all going to happen, including a profile of spend (and income if appropriate)
 - To act as a base document against which progress can be assessed (at monthly capital budget monitoring meetings)

- To measure the success of the project against a set of agreed criteria.

2 OPTIONS

2.1 A summary of the draft proposed Capital Programme for the years 2010-2013 is shown in Appendix A.

2.2 Appendix B demonstrates how the proposed bids meet the current key corporate priorities 2009/10 (which are reflected in the draft Corporate Improvement Plan 2010/11) as detailed in para 1.3.

It also separates the bids between:

- NEW bids (detailed bid in appendices 1-10 & 13),
- NEW Schemes under development (appendix Schemes under development)
- EXISTING SCHEMES BUT AMENDMENT REQUIRED (appendices 11-12) and
- EXISTING SCHEMES NO CHANGE (these bids have all been previously approved by Executive and as there are no changes, the appendices have NOT been included in this report).

The total proposed capital programme for the three year period total £11,309,744. This includes expected c/fwd of slippage (reported to Executive during the year) of £1,663,744.

3 FINANCIAL AND HUMAN RESOURCES IMPLICATIONS (INCLUDING SOURCES OF FINANCE)

3.1 Table 1 below shows the sources of funding for proposed Capital Programme for the three years 2010-2013. It is important that the funding of the proposed Capital Programme is fully understood and can be demonstrated. This should contribute to Council's confidence in approving the capital programme (in whole or part), and provides a framework for monitoring by Executive, during the year.

- 3.2 The proposed 2010/11- 2012/13 capital programme expenditure can be financed as follows:

Table 1: Financing of the proposed 2010/11- 2012/13 Capital Programme

	2010/11	2011/12	2012/13
Funded by:	£	£	£
Useable Capital Receipts	(1,677,320)	(600,451)	(380,024)
Useable Capital Receipts - Preserved right to buy sales (post stock transfer)	(1,079,331)		
Useable Capital Receipts - Residual Right to Buy receipts (pre stock transfer)	(67,609)	(696,000)	(585,776)
Useable Capital Receipts - Crematorium Sinking Fund	(168,550)		
Other External Funding	(2,581,143)	(727,150)	(714,000)
Other Reserves & contributions	(26,195)	(6,195)	
Existing borrowing	(2,000,000)		
TOTAL FINANCING	(7,600,148)	(2,029,796)	(1,679,800)

- 3.3 There may be future funding demands from the potential development of Albion Square and this has been included as a 'scheme under development'. There are other projects and major developments in Copeland which the Council may also be asked to support, and proposals for funding will be discussed when appropriate e.g. Millom Pool and Pow Beck. It is understood that these schemes will involve significant external funding but may require a capital commitment from Copeland. Local Authority support of major schemes is often a key lever in securing external funding. Funding for Millom Pool has been included in previous capital programmes, (but is not included in the proposed programme for 210/11 to 2012/13) and when the proposal is finalised, it is expected that formalisation of a bid for £50,000 will occur, which will be put forward to seek Member approval for inclusion in the capital programme. £2 million has been included at this preliminary stage for a potential allocation to Albion Square, however, Executive will be informed when, and if, a definite funding requirement emerges.
- 3.4 It is expected that Copeland will act as the accountable body for the Haverigg Lighthouse project (provided all requirements can be met). As well as acting as accountable body, the proposed capital programme includes a contribution of £35,000 (£15,000 through share of proceeds from sale of building currently used by group and £20,000 from Working Neighbourhood Fund) to the total of £1,037,000 expected capital costs, with the rest of the funding being provided by an a number of external providers. This project has therefore been included on the proposed capital programme. The final asset will belong to the Lighthouse Group and not to Copeland. If the scheme does not proceed this will impact on the Use of Capital Receipts Reserve detailed in tables 2-4.

- 3.5 The installation of the new cremator at Distington will impact on the Council's VAT Partial Exemption scheme. As the service provided is exempt from VAT (no VAT collected to be paid over to HMR&C) then the VAT paid by the Council on the project cannot be reclaimed from HMR&C. The cost of the project is therefore currently included at the gross cost, but external specialist professional advice is being sought to mitigate the impact on the council, the outcome of which will be reported to Members.
- 3.6 The extension of Millom Cemetery is currently included in the capital programme (approved in February 2009). The approved bid is £150,000 to purchase land required to extend the existing facility (profiled over 3 years). Since this bid was approved, the possibility of acquiring land at St George's Park, Millom, in exchange for the maintenance of the remaining park has arisen. It is however, possible that the land would not be suitable for burial purposes, due to high water table near sea level. This additional information is provided in the detailed bid in Appendix 12. Members are asked to note that the draft Capital Programme includes the original bid value i.e. £150,000 less £5,000 which is included in current year, and a reduction to this is not sought.
- 3.7 The high demand for private sector renewal housing assistance, residents continuing requirement for Disabled Facilities Grant support (both of which target vulnerable residents to improve their private dwellings to ensure quality of life and decency standards) and Copeland's strategic approach to bringing empty homes back into use, requires a level of funding which is unlikely to be met by external funding in the coming three years, due to the anticipated reduction in our regional housing grant.

In 2009/10 the DCLG regional housing grant (main source of external finance) was reduced by over £300,000 (from £1.175m to £842k) and it is anticipated that the award will be further reduced next year, best average estimate being £504k. Lobbying, led by Barrow Borough Council, on behalf of Cumbrian authorities has been undertaken and Copeland has actively supported this. We await notification of the new grant award.

This bid is for £596k (total bid £696k but Executive approval on 17.11.09 to commit £100k in 2009/10 from 2010/11 programme) and requests the use firstly of the Preserved Rights to Buy reserve (forecast opening balance for 1 April 2010, as at Quarter 3 2009/10 of £1,079,331 - £551k ring fenced for 2009/10 commitments leaving £528k) which has previous Member approval for this use, and secondly £68k from Residual Rights to Buy reserve, which received Members approval, in principle, for the housing capital programme, at RPWG's January meeting.

£696k is also requested for 2011/12 from the Residual Rights to Buy Reserve, and £585,776 for 2012/13, when this reserve will be exhausted

with the remaining £110,224 (of £696k) currently being shown as drawn from the Useable Capital Receipts from sale of assets (which traditionally has been the only source of internal funding for the non-housing programme).

However, a review of Copeland's new Housing Assistance Policy, which was adopted with effect from April 2009, is currently in progress and was considered by the Strategic Housing Panel in December 2009. The review is expected to be completed by 31 March 2010.

Members are reminded that we need to fulfill our statutory duties, with regard to providing Disabled Facilities Grants, and this must take precedence over the allocation of funding to be used in fulfillment of our powers, regarding Housing Renewal Grants/Loans. Appendix B provides details of anticipated demand matched against anticipated funding.

Executive accepted the advice of Resources Planning Working Group that reminded the draw down on this Residual Right to Buy Reserve will need to be considered in the light of total Council priorities – and in the light of total Capital reserves. The spending outlined in the programme for 2011/12+ can only be viewed as provisional at this stage. This is reflected in recommendation (vii) to Council.

- 3.8 Surveys, Cleaning, Repairs and Adopt Drains project was brought forward to be included in the 2009/10 capital programme at £313k. This has been re –profiled into next and subsequent years (2009/10 £50k, 2010/11 £70k, 2011/12 £193k: The need for the re-profiling has arisen, in summary, as a result of:

- 1) Surveys that have been carried out to date have not identified the level of works that were anticipated when the original budget was set.
- 2) The level of works carried out (and forecast to be carried out) during 2009/10 are lower than anticipated as the main contractor has been unable to carry out these works as a priority due to demands on them as a result of the extreme weather experienced this year.

As a result of point 2 above the current year forecast may slip slightly into 2010/11. Members will be advised of any slippage.

The level of budget for 2010/11 onwards will be formally reviewed at end of Q2 in 2010/11, when the details relating to the Flood and Water Bill (and United Utilities obligations for drains under this bill) will be known.

- 3.9 At its meeting on 29th October, 2009, Executive approved the inclusion of the Revenue and Benefits system, at £215,000, in the capital programme for 2010/11. Members will recall the expectation that some of this spend may be incurred in the current financial year. These costs are now

- 3.10 Quarter 3 Capital monitoring report indicated no slippage on the Mount Pleasant project which had been due to commence in January. However supplier lead times have now been confirmed and it is expected that this 14 week project will now commence in March. The forecast spend in current year will be adjusted to £60,000 leaving the remaining £439,743 (including additional external funding secured) forecast to be spent in 2010/11, as reflected in Appendix A. Members are asked to note that this slippage is fully funded externally.
- 3.11 At the RPWG's meeting in December, Members chose to exclude a contribution to WCDF of £62,000 from the capital programme. This amount was last included in the capital programme in 2008/09.
- 3.12 Since RPWG meeting on 29th January, there has been a further inclusion in the draft proposed programme – Copeland Pool. This project was reported to Executive at its meeting of 10th February and has resulted in the addition of a fully funded scheme, totalling £215k.
- 3.13 Members are asked to note that negotiations with Tesco are progressing well with a capital receipt anticipated in 2010/11. Please note that any such receipt is NOT included in the capital receipts values as detailed in Appendix C.

4 CAPITAL RESOURCES

- 4.1 Table 2 below shows the forecast position of the movement (i.e. use and new capital receipts) on usable capital receipts for 2010/11 (table 3 shows 2011/12 and table 4 shows 2012/13) which will be used to fund the capital programme. The availability of the capital receipts assumes receipts level and timing as per Appendix C. Any slippage in receipts may impact on the availability of funds (i.e. this is a risk to the funding of the proposed programme).

Table 2: Impact of the forecast capital programme spend and receipts for 2010/11 on the Useable Capital Receipts Reserve

	USEABLE CAPITAL RECEIPTS					Existing borrowing	TOTAL
	Receipts from sale of assets	Cremat'm sinking fund	Preserved right to buy sales	Residual Right to buy	VAT sharing receipt		
	£	£	£	£	£	£	£
Forecast Opening balance	(818,651)	(168,550)	(1,079,331)	(1,349,385)	(1,547,643)	(5,000,000)	(9,963,560)
Forecast draw down to fund draft 10/11 capital programme	1,677,320	168,550	1,079,331	67,609			2,992,810
Forecast Capital Receipts in year	(2,106,500)						(2,106,500)
Forecast VAT Share Receipt					(184,298)		(184,298)
Forecast draw down to fund draft 10/11 capital programme						2,000,000	2,000,000
Forecast useable Capital Receipts closing balance	(1,247,831)	-	-	(1,281,776)	(1,731,941)	(3,000,000)	(7,261,548)
TOTAL Forecast useable Capital Receipts closing balance					(4,261,548)		

4.2 As can be demonstrated in Table 2, the Preserved Rights to Buy Sales (post stock transfer), will be exhausted part way through 2010/11, with the remaining £68k of the proposed housing programme for that year, £696k for 2011/12 (Table 3) and £585,776 (Table 4) for 2012/13 being funded from Residual Rights to Buy reserve. This was agreed in principle by RPWG at its meeting of 7 January 2010, and is reflected in the funding projections for the proposed capital programme. The remaining requirement for 2012/13 of £110,224 (Table 4) is shown as being funded from Capital Receipts from sale of assets – which traditionally has been the only source of internal funding for the non-housing programme.

4.3 The proposed programme assumes that the 'Scheme under development' will require funding of £2million over the period of the proposed programme. This could be funded from drawing on existing borrowing. If the Albion Square development business case demonstrates a clear income return, sufficient to contribute to the costs of borrowing and

- 4.4 The existing long-term borrowing of £5million is and has been reflected in the Council's Treasury Management Strategy. The Council has not applied this borrowing and it is part of the Council's cash balances, earning interest. As part of prudent Treasury Management practice, the Council has kept this loan under review and regularly reviews options for repayment, unfortunately, the penalties given current borrowing rates are currently high. The Council could therefore, apply this borrowing to contribute to the Albion Square scheme as this scheme has the potential to generate a net income stream. This would help re-build the balance of the principal, ready for repayment and hopefully generate interest to help offset the cost of existing borrowing (accounted for in the revenue budget). However, the principal is currently earning interest, and therefore income from investments will decrease when the balance is used. When interest rates increase this loss of investment income will become more significant, but the penalty for redemption of the principal will also reduce.

Table 3: Impact of the forecast capital programme spend and receipts for 2011/12 on the Useable Capital Receipts Reserve

	USEABLE CAPITAL RECEIPTS					Existing borrowing	TOTAL
	Receipts from sale of assets	Cremat'm sinking fund	Preserved right to buy sales	Residual Right to buy	VAT sharing receipt		
	£	£	£	£	£	£	£
Forecast Opening balance	(1,247,831)	-	-	(1,281,776)	(1,731,941)	(3,000,000)	(7,261,548)
Forecast draw down to fund draft 10/11 capital programme	600,451			696,000			1,296,451
Forecast Capital Receipts in year	(4,400,000)						(4,400,000)
Forecast VAT Share Receipt					(331,082)		(331,082)
Forecast draw down to fund draft 10/11 capital programme							
Forecast useable Capital Receipts closing balance	(5,047,380)	-	-	(585,776)	(2,063,023)	(3,000,000)	(10,696,179)
TOTAL Forecast useable Capital Receipts closing balance					(7,696,179)		

Table 4: Impact of the forecast capital programme spend and receipts for 2012/13 on the Useable Capital Receipts Reserve

	USEABLE CAPITAL RECEIPTS					Existing borrowing	TOTAL
	Receipts from sale of assets	Cremat'm sinking fund	Preserved right to buy sales	Residual Right to buy	VAT sharing receipt		
	£	£	£	£	£	£	£
Forecast Opening balance	(5,047,380)	-	-	(585,776)	(2,063,023)	(3,000,000)	(10,696,179)
Forecast draw down to fund draft 10/11 capital programme	380,024			585,776			965,800
Forecast Capital Receipts in year	(1,130,000)						(1,130,000)
Forecast VAT Share Receipt					(136,892)		(136,892)
Forecast draw down to fund draft 10/11 capital programme							
Forecast useable Capital Receipts closing balance	(5,797,356)	-	-	-	(2,199,915)	(3,000,000)	(10,997,271)
TOTAL Forecast useable Capital Receipts closing balance					(7,997,271)		

- 4.6 Executive is asked to note that the forecast drawn down figure £380,024 in table 4 above includes £110,224 to fund the housing capital programme.
- 4.7 Following successful discussions with Home Group, undertaken by the Head of Finance and MIS, which has been reported to meetings of Resources Planning Working Group, five year projections for income have been received for the VAT sharing receipt reserve. The first three years of these projections has been accounted for in the reserves. The application of the VAT sharing receipt reserve (current balance at Q3 2009/10 of £1,547,643), has not been expressed but it is available.
- 4.8 The timing of capital receipts is critical to the funding of the proposed Capital programme. The capital programme indicates a drawdown of capital reserves over the three year period of £5,255,061. The forecast opening balance at the beginning of the period is £4,963,560, i.e. less than the requirement. The sale of assets, is therefore is essential to funding

the capital programme, without the need for borrowing. Any borrowing and the cost of borrowing would need to be repaid from revenue.

- 4.9 Members are reminded that the receipts detailed in tables 2-4 above are the best forecast prediction as of January 2010. The assets (predominantly land) will be placed on the market when conditions are favourable and this is kept under constant review by the Contracts and Property Manager and Capita DBS. It may be the case that some assets will be placed on the market sooner than currently anticipated, with resulting receipts ahead of those detailed in tables 2-4 above. It is not anticipated as at January 2010, that the assets will be offered for sale at later dates, however if this were to happen, the funding of the capital programme would need to be re-examined.

5 PROJECT AND RISK MANAGEMENT

- 5.1 This report supports prudent financial management in that it sets out the projected three year capital programme and expected funding of the programme, seeking formal approval before the 2010/11 programme commences. Once commenced, all budgets will be monitored monthly, with exceptions reported through Corporate Team and Executive quarterly so that management action can be taken to ensure the effective use of resources as planned by the Council.
- 5.2 The capital programme assumes funding from the sale of assets and external (grant) contributions. The programme also includes use of £2million of existing borrowing, dependent upon the business case, of Albion Square. Generation of capital receipts presents risks in terms of the timing and value of receipt. Capita DBS and the Contracts and Property Manager meet monthly and review asset sales.
- 5.3 The (revised) Council Asset Management Plan will be presented to Executive on 9th March and this will contain expectations of planned sales.
- 5.4 The value of DCLG receipt, which along with Disabled Facility grant provides external funding for the housing programme, (£504k) has been estimated for 2010/11, at the average of best and worst case expectations, as the DCLG will not be made public until March 2010. The value of receipt has been duplicated for years 2011/12 and 2012/13. The Disabled facility grant has been anticipated as current year receipt (£210k) for the three years, although a bid for £510,000 has been submitted for the Disabled Facilities Grant. If the actual grant receipt fluctuates from these estimates, this will impact on the use of the Preserved Rights to Buy and Residual rights to Buy reserves as detailed in tables 2-4.

- 5.5 A report will be presented to Executive by the Strategic Housing Manager in April 2010, once the DCLG (single housing pot) grant is confirmed, setting out the implications for the housing capital programme as appropriate.

6 IMPACT ON CORPORATE PLAN

- 6.1 The proposed bids have been summarised under the Corporate key objectives as detailed in Appendix B:

List of Appendices:

Appendix A - Draft capital programme 2010/11-2012/13

Appendix B – Meeting key objectives

Appendix C – Reserves and funding

Appendices 1-10, 13 – NEW Capital bids

Appendix Schemes under development

Appendices 11,12 (no monetary change)- EXISTING capital bids that require amendment.

List of Background Documents:

Council Budget Reports – 24th February 2009

Proposed Draft Capital Programme For 2010/11-2012/13 (RPWG) 29 October 2009

Proposed Draft Capital Programme For 2010/11-2012/13 (RPWG) 10 December 2009

Proposed Draft Capital Programme For 2010/11-2012/13 (RPWG) 7 January 2010

Proposed Draft Capital Programme For 2010/11-2012/13 (RPWG) 29 January 2010

Report to Executive 16th February 2010

Consultees:

Resource Planning Working Group (reports during budget preparation stage)

Head of Finance and MIS

Head of Service and Service Managers

Deputy S151 Officer – Alison Clark

Contracts and Property Manager – Chris Lloyd

Strategic Housing Manager – Laurie Priebe

Capital Control and Monitoring Group

CHECKLIST FOR DEALING WITH KEY ISSUES

Please confirm against the issue if the key issues below have been addressed. This can be by either a short narrative or quoting the paragraph number in the report in which it has been covered.

Impact on Crime and Disorder	Improving the quality of the built environment can contribute to the wellbeing of an area and schemes such as play areas for young people, street lighting etc can have a direct impact upon crime and disorder issues.
Impact on Sustainability	Schemes relating to economic regeneration (e.g Albion Square) can contribute to economic sustainability; whilst energy efficiency schemes can contribute to environmental sustainability.
Impact on Rural Proofing	Schemes have been considered across the Borough and reviewed in relation to corporate priorities. Mosiac can help better understanding of an areas needs and diversity and issues such as rurality. Website development can help overcome rural isolation from service centres too.
Health and Safety Implications	Schemes such as public buildings improvements, and cemetery developments can contribute to this issue, as can the private sector housing renewal works.
Impact on Equality and Diversity Issues	None
Children and Young Persons Implications	Schemes linked to young people are included in the programme (Haverigg Lighthouse, Play Builder, Copeland Pool, etc)
Human Rights Act Implications	None directly attributable
Section 151 Officer Comments	No further comments to add – sponsor of report. Report to be read in conjunction with Treasury Management and Revenue Budget reports on agenda
Monitoring Officer Comments	Legal services have been consulted on all schemes where there are potentially legal issues and their results of the consultations have been included in the report.

Is this a Key Decision – YES

DRAFT CAPITAL PROGRAMME BUDGET 10/11, 11/12, 12/13

OTHER (CORPORATE)	Expenditure				Funding						Existing borrowing	2011/12				2012/13			TOTAL 12/13 including slippage	OVERALL TOTAL 10/11-12/13 £'000	Manager		
	Existing programme Feb 09 Council £'000	2010/11			UCRR	Crem sinking fund	WNF	Preserved Rights To Buy Sales (post stock transfer)	Residual Rights To Buy	External		Existing programme Feb 09 Council £'000	Slippage £'000 aproval sought Exec Nov 09/Feb 10	Draft bids submitted Oct 09/Nov 09 £'000	TOTAL 11/12 including slippage	Existing programme Feb 09 Council £'000	Slippage £'000 aproval sought Exec Nov 09/Feb 09	Draft bids submitted Oct 09/Nov 09 £'000					
		Slippage £'000 aproval sought Exec Nov 09/Feb 10	Draft bids submitted Oct/Nov 09 £'000	TOTAL 10/11 including slippage																			
Corporate Department:																					-		
Management Information Systems																					-		
Revenues and Benefits	-	-	188,225	188,225	188,225																-	215,000	J Salt
New Financial Mgmt System		15,000		15,000	15,000							15,000		15,000							-	30,000	J Crellin
New CRM	-	93,000	-	93,000	93,000										-						-	35,000	J Salt
Website development		35,000		35,000	35,000																		
Regeneration Software licence			80,000	80,000	80,000										-						-	80,000	P Graham
Virtualisation of Servers			30,000	30,000	30,000										-						-	30,000	
Corporate Firewall			17,080	17,080	17,080																		
Mosaic		6,195		6,195			6,195						6,195	6,195									
Waste Route Optimisation using GIS	-	32,500		32,500	32,500										-					-	-	32,500	J Carroll
Data capture		20,400		20,400	20,400																		
Management Information Systems - Total	-	202,095	315,305	517,400	511,205	-	6,195	-		-		-	15,000	6,195	21,195	-	-	-	-	-	-	422,500	
Public Buildings															-					-	-	-	
Phoenix court phase 2 (retention only)		22,000		22,000						22,000													
Public Buildings Condition Survey Backlog	241,020		8,980	250,000	250,000							194,670	5,330	200,000			217,000	217,000	667,000	C Lloyd			
Energy Efficiency Measures	53,000	15,000	(200)	67,800	67,800							53,000	(200)	52,800			52,800	52,800	158,400	C Lloyd			
Land purchase for Milom Cemetery Extension	5,000			5,000	5,000							140,000		140,000					145,000	C Lloyd			
Public Buildings - Total	299,020	37,000	8,780	344,800	322,800	-	-	-		22,000		387,670	-	5,130	392,800	-	-	269,800	269,800	989,375			
Property															-					-	-	-	
New cremators, Distington Crematorium	470,850			470,850	250,300	168,550				52,000		13,150		13,150						-	484,000	C Lloyd	
Haverigg Lighthouse (GRANT)			1,037,000	1,037,000	15,000		20,000			1,002,000													
Copeland reception			150,000	150,000	150,000																		
Surveys Cleansing Repairs Adopt Drains		70,000		70,000	70,000								192,651		192,651					-	243,000	J O'Reilly	
Property - Total	470,850	70,000	1,187,000	1,727,850	485,300	168,550	20,000	-	-	1,054,000		13,150	192,651	-	205,801	-	-	-	-	-	877,000		
Leisure & Environmental Services															-					-	-	-	
Cemeteries and Children's Play Areas Condition Report	44,100	47,150		91,250	91,250															-	-	44,100	T Magean
Rottington Beck			90,000	90,000	90,000																90,000		
Play Builder Money 2010	-		90,000	90,000	90,000					90,000					-						90,000		
Fleet communications/GPS tracking			20,000	20,000	14,000					6,000											20,000	Janice Carroll	
Whitehaven cemetery		7,500		7,500	7,500																		
Christmas Lights			62,000	62,000	62,000																62,000		
Whitehaven Market Lighting			32,000	32,000	32,000																32,000		
Copeland Pool			215,000	215,000	215,000					215,000											215,000		
Leisure & Environmental Services - Total	44,100	54,650	509,000	607,750	296,750	-	-	-		311,000		-	-	-	-	-	-	-	-	-	553,100		
Regeneration																							
Whitehaven Mount Pleasant		439,743		439,743						439,743													
Regeneration - Total		439,743		439,743						439,743													
																					-		
																					-		
TOTAL CAPITAL PROGRAMME - OTHER	813,970	803,488	2,020,085	3,637,543	1,616,055	168,550	26,195	-	-	1,826,743		400,820	207,651	11,325	619,796	-	-	269,800	269,800	2,841,975			

Appendix 1 - Rottington Beck

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	David Bechelli
Designation:	Flood & Coastal Defence Engineer
Project Title	St. Bees Promenade Life Extension
Location	St. Bees
Project Appraisal Outline	Construct a new concrete face to the sheet piling with an integral channel for Rottington Beck.

Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.5 Customer focussed 3.2 Safer Copeland 3.3 Quality living environment 3.6 Leisure and culture 3.7 Improving health
Supports Corporate Improvement Plan	
Financial and Human Resource Implications	Environmental Health do not have the financial or human resources for this project.
Project and risk management	This project will be professionally managed by external project management.

Scheme Details:	
Background (why?)	Inspections have revealed deterioration of sheet piling due to corrosion, with perforation in places. Structural engineers assessment effectively state the sheet piling is life expired. This scheme was reported to Executive at it's meeting of 20 October 2009.
Description (how to be undertaken?)	A temporary diversion of Rottington Beck is required, whilst the concrete facing is put in place.
Implications of not undertaking the scheme (with evidence)	Structural engineers report states that there could be a partial failure of the sea wall is as little as 2 to 10 years time.

Key Objectives	Maintain Copeland Borough Council assets for a minimum of 50 years. This supports the Shoreline Management Plan timescales.
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Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	90,000	0	0	90,000
Financing:				
CBC Capital	90,000	0	0	90,000
External (name where from):				
				0
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)	0	0	0	0

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a.	0	90,000			90,000
b.					0
c.					0
d.					0
e.					0
	0	90,000	0	0	90,000

Signature:	Dave Bechelli
Date:	28-Oct-09

Appendix 2 - Playbuilder 2010

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Toni Magean
Designation:	Open Spaces Manager
Project Title	Up grading of play area stock
Location	To be confirmed (various play sites under consideration)
Project Appraisal Outline	To up grade two play areas using phase 2 of the Playbuilder money approximately £90,000 in accordance with Play England criteria.
Strategic Objective:	<p>Links:</p> <p>1.3 Strong strategic partnerships 2.2 Regenerating Copeland 2.5 Customer focussed 3.3 Quality living environment 3.6 Leisure and culture 3.7 Improving health Will provide a safe, clean environment for children and young people to engage in play and physical activities.</p>
Supports Corporate Improvement Plan	Planning Policy Guidance Note 17, Children's Outdoor Play & Teen Spaces Strategy for Copeland, Copeland Play Strategy 2007-2012,
Financial and Human Resource Implications	The installation of the play areas will not place a financial burden on the Council, with 100% funding from the Playbuilder Fund to pay for the up grading of these plays areas in question which are already in Council ownership.
Project and risk management	The project is funded by an external source and will be used to fund local town and parish or community play initiatives subject to meeting the playbuilder funding criteria and evaluation procedure which includes risk management
Scheme Details:	
Background (why?)	Much of the Council existing play stock has been in situ, for over twenty years and requires up grading. To ensure the equipment continues to appeal to the young people of the Borough and remains in a safe and useable condition.
Description (how to be undertaken?)	Copeland Borough Council, will work with the Parish Council's to up grade or install two new play areas in the Borough using phase 2 of the Playbuilder fund.
Implications of not undertaking the scheme (with evidence)	The Council will be forced to spend increasing amounts of money on maintaining its ageing play equipment or close down play areas at the end of their useful life expectancy. Also the provision of play areas in rural areas within the borough will remain less than adequate.
Key Objectives	Up grade the Council's existing play areas to ensure they do not go into decline and remain interesting to young people.

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	90,000			90,000
Financing:				
CBC Capital				0
External (name where from):				
Playbuilder Fund	90,000			90,000
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)	0	0	0	0

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a.	45,000	45,000			90,000
b.					0
c.					0
d.					0
e.					0
	45,000	45,000	0	0	90,000

Signature: Russell Maddam

Date: 26-Oct-09

Appendix 3 - Fleet Communications /GPS Tracking

COPELAND BOROUGH COUNCIL
2010/11 BUDGET
CAPITAL BID FORM -

Manager:	Janice Carrol
Designation:	Waste Services Manager
Project Title	Fleet Communications/GPS tracking
Location	Moresby Parks - but able to extend across entire council by purchasing 'add ons'. This bid is for Leisure and Environment ONLY

Project Appraisal Outline	<p>The project is to support the purchase of a single dedicated fleet communications system that would have vehicle tracking capabilities. The current fleet contract requires Translinc (the council's fleet provider) to provide such a system in large vehicles such as refuse vehicles and sweepers but not in the light commercial vehicles. Translinc are currently looking at a system that can have a fixed (hands free phone system) set for vehicles that a driver is with on a constant basis or a portable one where the driver can be away from the vehicle. It is vital that communications are maintained with staff at all times both for service delivery and for health and safety of our staff. Copeland are looking to purchase tracking devices for own (L&E) vehicles/personnel at an estimated cost of £14k at the same time that Translinc purchase tracking equipment for installation in their own vehicles (to meet the current fleet contract conditions). The trackers purchased with CBC's contribution, would remain our asset the trackers purchased by Translinc would remain theirs. Purchasing with them would allow Copeland</p>
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Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.5 Customer focussed Quality living environment 3.3
Supports Corporate Improvement Plan	Supports the aim to reduce the Council's carbon footprint by reducing unnecessary mileage and fuel consumption.
Financial and Human Resource Implications	Apart from training on the new system which will be managed within normal hours, within existing revenue budgets, there are no implications.
Project and risk management	The project will be managed by Translinc with support from the L&ES Management team.

Scheme Details:	
Background (why?)	There is currently no single communications system within L&ES and a number of vehicles are deployed with single drivers and mobile phones. This practice is not conducive to discouraging unsafe and illegal practices of using mobile phones whilst driving. In addition the equipment in larger vehicles is some 7 to 8 years old which means the hardware is outdated and difficult to repair. There are ongoing problems with the existing kit which works sporadically and is in desperate need of updating. GPS tracking has proven successful in defending a number of insurance claims and should be considered as necessary for the entire fleet. Service managers within waste collection currently interrogate the data available from the current system (only fitted in large vehicles which are Translinc's assets), if all vehicles were fitted with the system this would allow the checking of each vehicle (30 in total) to be checked on a rolling basis to ensure that each vehicle was checked an average of 6 times a year. This would allow trend data to be collated and acted upon for the benefit of the service (and possibly financially)
Description (how to be undertaken?)	The system will be purchased by Translinc though detailed discussions have yet to take place.
Implications of not undertaking the scheme (with evidence)	Poor communications between management and crews and in turn between Copeland Direct and Waste management, which reduces the services ability to respond to service requests such as missed collection. INSURANCE CLAIMS

Key Objectives	Improved communications leading to personnel security and more responsive services with reduction in unnecessary journeys, which will contribute to the reduction in carbon monitor. It is anticipated that overtime it may be possible to reduce mileage and fuel costs as a result of acting upon information supplied - although any revenue savings cannot at this stage be quantified.
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Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	20,000			20,000
Financing:				
CBC Capital	14,000			14,000
External (name where from):				
Translinc	6,000			6,000
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)	No additional - monthly charge to replace current line charge			

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010	Projected Total Expenditure by 30th Sept 2010	Projected Total Expenditure by 31st Dec 2010	Projected Total Expenditure by 31st March 2011	Total
	£	£	£	£	£
a.	20,000				20,000
b.					0
c.					0
d.					0
e.					0
	20,000	0	0	0	20,000

Signature:	Janice Carrol
Date:	24-Nov-09

Appendix 5 - Whitehaven market Lighting

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Toni Magean
Designation:	Open Spaces Manager
Project Title	Whitehaven Market Place Lighting
Location	Market Place and James Street
Project Appraisal Outline	Installation of period style lighting
Strategic Objective: Supports one or more of the 6 corporate priorities	Links: 2.2 Regenerating Copeland 2.5 Customer focussed 3.2 Safer Copeland 3.3 Quality living environment
Supports Corporate Improvement Plan	
Financial and Human Resource Implications	The contractors and United Utilities will be project managed within the existing resource
Project and risk management	None - Existing relationship with contractors and United Utilities
Scheme Details: Background (why?)	The existing lamp posts are not working and do not have access doors to enable them to be serviced; and the source of the power supplies to them cannot be traced. If they are to be brought back into use it will be necessary to install individual feeder pillars close to each one, which would add to the "street clutter". However as many of the lantern mountings are also very corroded the lighting contractor has advised that the columns be replaced anyway .
Description (how to be undertaken?)	Removal of existing defunct lighting columns and existing wall features, and installation of 18 wall mounted period style features. The work would be carried out via the Council's lighting contractor and United Utilities. These works would considerably reduce the street clutter in this area of the town
Implications of not undertaking the scheme (with evidence)	The area would continue to be inadequately lit resulting in continued concerns and criticism from businesses and residents.
Key Objectives	To provide safe and function lighting to Market Place and James Street

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	32,000			32,000
Financing:				
CBC Capital	32,000			32,000
External (name where from):				
				0
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)				

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a.		32000			32,000
b.					0
c.					0
d.					0
e.					0
	0	32,000	0	0	32,000

Signature:

Toni Magean

Date:

18/11/2009

Appendix 6 - Regeneration software licence

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Pat Graham
Designation:	Head of Development Operations
Project Title	MIS Licenses
Location	Copeland Centre
Project Appraisal Outline	Project to replace the software, currently used by Development Control, Building Control and Land Charges was approved in November 2008. The installation of the project is almost complete and all three teams will be live before the end of the year. This bid seeks to cover the cost of the five year software licence as support by Executive at that time, and for which payment required from April 2010. This is eligible for capital funding.

Strategic Objective: Supports one or more of the 6 corporate priorities	Links: 2.5 Customer focussed 2.6 Effective performance management and culture. The case for the software and its benefits has been made and accepted, these funds enables us to use it.
Supports Corporate Improvement Plan	
Financial and Human Resource Implications	None software licence will be purchased in one transaction from established provider with whom Copeland have established a relationship
Project and risk management	None software licence will be purchased in one transaction from established provider with whom Copeland have established a relationship

Scheme Details: Background (why?)	The agreed budget provisions to support the project licence and maintenance, as previously agreed by the Executive.
Description (how to be undertaken?)	Purchase of licence
Implications of not undertaking the scheme (with evidence)	We will not be able to use the system or achieve the benefits to the service and customers and associated efficiencies thereafter
Key Objectives	To able use of new software

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	80,000			80,000
Financing:				
CBC Capital	80,000			80,000
External (name where from):				
				0
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)				

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
5 year software license	80,000				80,000
b.					0
c.					0
d.					0
e.					0
	80,000	0	0	0	80,000

Signature:	E P Graham
Date:	14-Oct-09

Appendix 7 - Virtualisation of servers

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Martin Stroud
Designation:	ICT Manager
Project Title	Virtualisation of Servers (initially email)
Location	Copeland Centre
Project Appraisal Outline	Replace 6 year old servers with shared storage and virtualised servers to increase capacity of exchange servers for next 5 years. (Lesser cost of options available).

Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.5 Customer focussed
Supports Corporate Improvement Plan	2.6 Effective performance management and culture
Financial and Human Resource Implications	communicate reliable and securely across all depts. and with citizens
Project and risk management	Capital required to purchase new server, virtualisation will be carried out by staff internally.
	Project managed in house. The risk of not carrying out this project is the loss of exchange server.

Scheme Details:	
Background (why?)	Current exchange servers will begin to run out of space in May 2010 . The alternative to this bid is to buy additional space which would require an upgrade server and associated hardware, the cost of which would be in excess of the proposed project, at a minimum of £40k. Furthermore it would not allow provision for business continuity or disaster recovery to be built in. Physical servers also impact on the power and heating requirements for the computer room, use of blade hosted virtual servers lowers power and cooling requirements.
Description (how to be undertaken?)	Deploy network attached storage, create virtual servers on that storage and migrate exchange 2003 to new exchange 2010 servers (virtualised)
Implications of not undertaking the scheme (with evidence)	Both Email Servers will require upgrading to ensure compatibility with new versions of exchange email system, allocated and in use space audits show by May 2010 both emails servers will be using 97% of allocated disk space and will be unable to continue to server as an email server. this will have a serious impact and result in Copeland being unable to communicate by email.

Key Objectives	1) support email system 2) provide business continuity 3) Facilitate re build in event of disaster recovery 4) lower carbon emissions 5) Revenue savings - Aim to virtualise 9 servers by 30th September 2009, when we need to review Microsoft licenses. This will deliver potential part year revenue savings of up to £12k, in 2010/11, with potential full year revenue savings of £23k in 2011/12
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Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	30,000			30,000
Financing:				
CBC Capital	30,000			30,000
External (name where from):				0
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)	-12,000	-23,000	-23,000	-58,000

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a.	30,000				30,000
b.					0
c.					0
d.					0
e.					0
	30,000	0	0	0	30,000

Signature:	Martin Stroud
Date:	26/11/2009

Appendix 8 - Corporate firewall

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Martin Stroud
Designation:	ICT Manager
Project Title	Network security
Location	Copeland Centre, Moresby Parks, Millom, and SLLVPN remote access connections
Project Appraisal Outline	To purchase firewall to provide additional network security between all sites , which will be become necessary on upgrade to COCO version 4.2
Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.5 customer focussed 2.6 effective performance management and culture
Supports Corporate Improvement Plan	communicate reliable and securely across all depts and with citizens
Financial and Human Resource Implications	Capital required to purchase firewall & licence to use for 3 years
Project and risk management	Minimal risk, one of purchase and installation
Scheme Details:	
Background (why?)	It is a requirement for COCO compliance when the next version (4.2) is released. We need to comply with this during April 2010.
Description (how to be undertaken?)	Purchase and installation of firewall and purchase of 3 year license to enable use.
Implications of not undertaking the scheme (with evidence)	Whilst the security we use on the links to Moresby and Millom, meet industry standard, it does not meet COCO (version 4.2) standard, and we therefore cannot use those links to transmit any information that is protectively marked as "restricted", should such information be transmitted over those links, Copeland would be in breach of the COCO security policy. Government Connect can respond to breaches of the policy by removing access to the GCSX network, effectively stopping or access to vital DWP information. Deployment of these firewalls will provide further security enhancements for all data transmitted across the 3rd party links and further mitigate any possible loss of data.
Key Objectives	To retain our COCO compliance

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	17,080			17,080
Financing:				
CBC Capital	17,080			17,080
External (name where from):				
				0
				0
				0
Revenue Implications (ensure revenue bid is submitted separately)	14,000	14,000	14,000	recurrent

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a.	17,080				17,080
b.					0
c.					0
d.					0
e.					0
	17,080	0	0	0	17,080

Signature:	Martin Stroud
Date:	12/11/2009

Appendix 9- Copeland Centre Reception

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM

Manager:	Chris Lloyd
Designation:	Contracts and Property Manager
Project Title	New reception Counter and alterations The Copeland Centre
Location	Copeland
Project Appraisal Outline	The Council reception was designed some 6 years ago to meet the Councils requirements at that time. Since occupation of the building the way in which customer services was provided has changed substantially, and is continually evolving, including sharing services with Cumbria County Council local links , providing space for other organisations i.e. HMRC, Police, The current layout is inadequate for provision of these services with problems including queuing and confidentiality. Now that current Customer needs are better understood provision of a larger more flexible reception counter is considered to be necessary. provision of a budget for a new reception is likely to require removal of existing partitions in reception, a larger counter, review of use and orientation of interview room, and location of customer services officer to allow a more functional counter to be installed, and to consider entrance doors customer and staff access routes.

Strategic Objective:	Links:
Supports one or more of the six Corporate priorities	1.3 Strong strategic partnerships 2.5 Customer focussed 2.6 Effective performance management and culture 3.2 Safer Copeland
Supports other Corporate Plan priority	
Financial and human resources implications	To be considered further within process.
Project and risk management	As standard procedures

Scheme Details:	
Background (why?)	Enable Copeland Borough Council to provide the best possible services to customers. Meet current day expectations of customers. Provide one stop shop for more than just Council services
Description (how to be undertaken?)	Assessment of requirements, scope and extent of services, interpret and include in revised layout, review and put forward proposal. This project could be funded through the Unitary fund over the remaining 20 year term. This would however create a REVENUE PRESSURE for the 20 years of (say 5% interest) £15,000 pa
Implications of not undertaking the scheme (with evidence)	No updating or improvement in customer services.

Key Objectives	Continuance/improvement of Council services, maintain investment property.
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Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £	
TOTAL Project Cost	150,000			150,000	
Financing:					
CBC Capital	150,000			150,000	
External (name where from):					
a. Cumbria County Council TBC	25,000			25,000	This has not been confirmed
b.					
c.					
Revenue Implications (ensure revenue bid is submitted separately)	None - or cost could be paid through Unitary Charge as an alternative increasing annual revenue costs over next 20 years. Estimate at £15,000 pa				

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a. Conversion		150,000			
b.					
c.					
d.					
e.					
	0	150,000	0	0	0

Signature:	C A Lloyd
Date:	13 11 2009

Appendix 10 Private sector housing

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Laurie Priebe
Designation:	Strategic Housing Services Manager
Project Title	Private Housing Sector Renewal
Location	Borough-wide
Project Appraisal Outline	The purpose is to promote the achievement of the Decent Homes Standard in the private housing sector. Also to remove Category 1 hazards under the Housing Health & Safety Rating System introduced by the Housing Act, 2004. Note that this bid is because we expect our allocated grant from DCLG to be reduced. In 2008/09 we received £1.175m, in 2009/2010 we received £842k under the 65% protection. However the Government has warned all local housing authorities to expect a lower grant next year, with the possibility of protection being reduced to just 45% which would result in an award in region of £500k.
Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.2 Regenerating Copeland 2.3 Customer focussed 3.3 Quality living environment 3.5 Quality housing
Supports Corporate Improvement Plan	"Promoting Prosperity: bring 60 private sector properties up to a decent standard by April 2010 with the use of home renewal financial assistance." I have not seen a 2010/2011 CIP but we are still about 2.5% below the target in the cell above
Financial and Human Resource Implications	The Home Renewal section of the Housing Services Team is currently fully staffed and is able to deliver home renewal assistance as defined by the financial assistance policy approved by the Council in December 2008 and which came into effect on 1/4/09. We will not know our allocation under the Regional Housing Capital Pot for 2010/2011 until March 2010
Project and risk management	If this bid was not supported, Copeland would fail to meet our corporate housing objectives and leave economically vulnerable households at risk of harm in homes that are not weatherproof, warm or safe.
Scheme Details:	
	Our financial assistance policy is targeted at properties that contain at least one category 1 hazard as defined in the Housing Health & Safety Rating System. The policy is also targeted at older households: economically vulnerable households: families with dependent children: first time buyers of homes in poor condition which have been empty for at least 6 months. Our private sector housing condition survey of 2007 estimated a backlog of £57M to bring the private sector up to the Decent Homes Standard. The demand for renewed private sector housing assistance is high along with residents continuing requirement for disabled facilities grant support. Copeland's strategic approach to bringing empty homes back into use, targeting vulnerable residents to improve their private dwellings to ensure quality of life and decency standards, requires a level of funding in the coming three years, due to the anticipated reduction in our regional housing grant. A six month review of Copeland's new Housing Assistance Policy, which was launched in April 2009 has been undertaken to ensure continued effective targeting to out most vulnerable households and this will be presented to the Council for approval.
Description (how to be undertaken?)	The Council's approved financial assistance policy for home renewal is a mix of grants and interest free loans supported by a charge placed on the property which must be repaid when the home is sold. If we do not adequately fund the scheme we will fail to remove category 1 hazards from private sector homes occupied by older and economically vulnerable residents. If the scheme is underfunded we will also fail the government target of achieving 70% compliance with the decent homes standard for economically vulnerable households by 2010.

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Implications of not undertaking the scheme (with evidence)	If this bid was not supported, Copeland would fail to meet our corporate housing objectives and leave economically vulnerable households at risk of harm in homes that are not weatherproof, warm or safe.

Key Objectives	To ensure private house stock is updated in line with decent home standards and to eliminate category 1 hazards
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Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	approved capital programme is for £1.2m			0
Financing:				
CBC Capital	696,000	696,000	696,000	2,088,000
External (name where from):				
estimated from DCLG from regional housing capital pot				0
	£500,000 - £842000			0
				0

Revenue Implications (ensure revenue bid is submitted separately)	None			
---	------	--	--	--

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a. Please note that it is currently unreliable to estimate the likely spend of an earmarked reserve of £0.5M quarter by quarter.					0
d The purpose of this bid is to protect against the worst case scenario of our allocation for 2010/2011 being reduced to £0.5M					0
c.from £1.175M in 2008/09. The bid is for an earmarked reserve only.					0
d.					0
e.					0
	0	0	0	0	0

Signature:	Laurie Priebe
Date:	13/10/2009

Appendix 11 New cremators - increase to approved bid

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Chris Lloyd
Designation:	Contracts and Property Manager
Project Title	NEW CREMATOR
Location	DISTINGTON CREMATORIUM
Project Appraisal Outline	Project for installation of new cremator to replace existing two cremators now having reached the end of their useful life.
Strategic Objective:	Links:
Supports one or more of the 6 corporate priorities	2.5 Customer focussed. 3.4 Sustainability
Supports Corporate Improvement	
Financial and Human Resource	
Project and risk management	Standard processes involved including full life cost assessment, OJEU competitive tendering
Scheme Details:	
Background (why?)	This bid is for funding in addition to that approved by Executive on 25 August 2009. The funding approved on that date was for: Gross cost of project £518,000 external income (Cameo receipts) (£148,000) CBC funding requirement £370,000. The Gross cost of the project is now anticipated to be £526,000 (increase of £8,000) and the external funding has been revised to £118,000 (revision of £30,000) requiring CBC funding of £408,000 to support this project, an increase of £38,000
Description (how to be undertaken?)	Selection of preferred cremator supplier plus building contract to remove existing ,undertake necessary alterations and fit new.
Implications of not undertaking the scheme (with evidence)	Excessive maintenance cost of existing regular and increasing breakdowns leading to further reduction in service.
Key Objectives	To enable continuation of service in an efficient manner providing good service to customers.

Financial Implications of additional bid

		2010/11 additional cost £	2011/12 £	Total Project including current year £
TOTAL Project Cost		8,000		8,000
VAT		1,400		1,400
Financing:				
CBC Capital		38,000		38,000
VAT		1,400		1,400
External (name where from):				
Cameo Income reserve		-30,000		-30,000
Cameo Income receipt in year				
Total external				
VAT				
Revenue Implications (ensure revenue bid is submitted separately)				

£10k per annum from existing budgets (plus VAT)

	£	£	£	£
TOTAL Project Cost	42,000	470,850	13,150	526,000
VAT	7,350	82,399	2,301	92,050
Financing:				
CBC Capital		394,850	13,150	408,000
VAT		69,099	2,301	71,400
External (name where from):				
Cameo Income reserve	10,000	19,950		29,950
Cameo Income receipt in year	32000	32,000	24,000	88,000
Total external	42,000	51,950	24,000	117,950
VAT		9,091	4,200	20,641
Revenue Implications (ensure revenue bid is submitted separately)		£10k per annum from existing budgets		

£10k per annum from existing budgets (plus VAT)

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010 £	Projected Total Expenditure by 30th Sept 2010 £	Projected Total Expenditure by 31st Dec 2010 £	Projected Total Expenditure by 31st March 2011 £	Total £
a. Project management	9,000	9,000	9,000	9,000	36,000
b. Construction/new cremator		205,425	229,425		434,850

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CAPITAL BID FORM -

c. Contract retention (payment
beyond march 2011)

d.

e.

	9,000	214,425	238,425	9,000	470,850
VAT	1,575	37,524	41,724	1,575	82,399

Signature:

C A Lloyd

Date:

17 11 09

COPELAND BOROUGH COUNCIL

2009/10 BUDGET

CAPITAL BID FORM

Manager:	Chris Lloyd
Designation:	Contracts and Property Manager
Project Title	Purchase land for extension of cemetery provision Millom
Location	Copeland
Project Appraisal Outline	<p>This bid of £150,000 was approved by Council, in February 2009 for inclusion in the Capital Programme at £5,000 in 2009/10, £5,000 in 2010/11 and £140,000 in 2011/12. It is being highlighted to Members, as since the bid was approved to purchase land the possibility of obtaining land at Millom Park in exchange for maintenance of the park, has arisen.</p> <p>The current cemetery provision at Millom will be at its limit within a max. of 4 years (based on current levels of 15 graves per annum). Requirements beyond this are approx 200 graves to cater for a further 20 years. Based on comparisons with Whitehaven cemetery an area of at least 0.6 acres will be required. The Council does not own any further land which could be made available for further provision. At present there is no land available. It will be necessary to purchase additional land and Capita has been appointed to seek available land and report back. As there is a lack of suitable land it will be necessary to consider what can be purchased, potentially to include dwellings</p>
Strategic Objective:	Links:
Supports one or more of the six Corporate priorities	2.5 Customer focussed
Supports other Corporate Plan priority	Provision of full life facilities in Copeland

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CAPITAL BID FORM

Financial and human resources implications	Enquiries to be made through land management - this budget to support cost.
Project and risk management	As standard procedures

Scheme Details:

Background (why?)	To provide sufficient burial space in the borough for residents
Description (how to be undertaken?)	Enquire about land review select purchase subject to check on suitability and planning approvals.
Implications of not undertaking the scheme (with)	Lack of burial space - residents of Borough would have to use facilities outside borough if available.

Key Objectives

Efficient accountable council.

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
Project Cost	5000	140000		145000
Financing:				
CBC Capital	5000	140000		145000
External (name where from):				

Revenue Implications (ensure revenue bid is submitted separately)	Already considered see report 15 11 08
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Signature:

Chris Lloyd

Date:

09 01 2009.

Appendix 13 - Whitehaven Pool

COPELAND BOROUGH COUNCIL

2010/11 BUDGET

CAPITAL BID FORM -

Manager:	Cath Coombs
Designation:	Acting Head of Leisure and Environmental Services
Project Title	Whitehaven Pool Changing Rooms
Location	Whitehaven Pool
Project Appraisal Outline	
Strategic Objective: Supports one or more of the 6 corporate priorities	Links: 1.3 Strong strategic partnerships 2.2 Regenerating Copeland 2.5 Customer focussed 3.3 Quality living environment 3.6 Leisure and Culture
Supports Corporate Improvement Plan	2.2 Copelands communities are healthier. 2.3 The Borough has a range of leisure and cultural activities that meet the needs of residents and visitors
Financial and Human Resource Implications	Will be fully managed by NCL and fully funded externally (NCL and Sport England). Officer time will be required to monitor progress in line with pre determined plan
Project and risk management	A full project plan agreed by Sport England is available and the project will adhere to this.

Scheme Details: Background (why?)	<p>Copeland Pool was built in 1986 and although the building and plant have been well maintained and are in good condition, the changing areas have not been refurbished and look tired and dated, falling well short of modern standards of facilities and design.</p> <p>The pool is a "Commended" member of the Quest Quality scheme for leisure and is also part of the APSE benchmarking service. In 2008/09 Copeland Swimming Pool won a national award as the most improved swimming facility in the UK.</p> <p>The project is to replace the existing substandard and inflexible male and female changing rooms at Copeland Pool with a unisex changing area which will allow more people to use the pool at any one time, and attract and retain more customers because of its higher quality.</p> <p>The female change in particular is narrow, unappealing and short of facilities, whilst the male change has a long narrow corridor which leads to feelings of security. There are no family changing areas.</p> <p>The grant requested is £195,000 with £20,000 of match funding being provided by North Country Leisure through their planned maintenance fund.</p> <p>A feasibility study was carried out with a number of design options, which attempt to follow ASA / Sport England best practise guidance.</p> <p>The proposed design includes a unisex village style changing facility, giving more space overall for public changing. The design also gives the opportunity to provide glazing between poolside and changing to make the area more open and reduce feelings of insecurity. Group changing rooms will be provided for the first time giving greater flexibility of use for schools, and other small group bookings to use in particular.</p> <p>It is proposed to carry the work out in September 2010 with the preparatory work carried out from April to August 2010.</p>
Description (how to be undertaken?)	Works to be carried out in accordance with plan agreed between NCL, Copeland Council and Sport England
Implications of not undertaking the scheme (with evidence)	The changing facilities will remain in their current condition and the additional capacity will not be created, therefore not allowing additional residents to swim at the pool.
Key Objectives	To deliver a unisex village changing facility. Giving more space for public changing, which will allow additional use of the swimming pool over the coming period.

Financial Implications of Project

	2010/11 £	2011/12 £	2012/13 £	Total £
TOTAL Project Cost	215,000		0	215,000
Financing:				
CBC Capital				0
External (name where from):				
Sport England	195,000			195,000
NCL	20,000			20,000
				0
Revenue Implications (ensure revenue bid is submitted separately)		0	0	

Projected Detailed Total Expenditure by Quarter - for 2010/11 only at this stage

Item/Type of Expenditure (e.g. Land acquisition, legal fees, project management costs, software licence etc)	Projected Total Expenditure by 30th June 2010	Projected Total Expenditure by 30th Sept 2010	Projected Total Expenditure by 31st Dec 2010	Projected Total Expenditure by 31st March 2011	Total
	£	£	£	£	£
Preparatory work inc electrical works	20,000				20,000
2nd Stage invoice		97,500			97,500
Final invoice & sign off			97,500		97,500

215,000

Signature:	C Coombs
Date:	03/02/2010