

AUDIT AND GOVERNANCE COMMITTEE

MINUTES OF MEETING HELD ON 22 APRIL 2013

Present: Mr Michael Bonner (Independent Chair)

Councillors Yvonne Clarkson; Peter Connolly; Joan Hully; Graham Sunderland; Carole Woodman

Apologies for Absence were received from Councillors: David Riley and Doug Wilson

Councillors John Bowman and Gillian Troughton also attended the meeting.

Officers: Darienne Law Head of Corporate Resources; Peter Usher, Audit Manager; Denise James, Member Services Officer

Tim Capper, Democratic Services Manager Agenda Items 1-7

Also in attendance: Jackie Bellard and Richard McGahon Grant Thornton

AU 54 Minutes

RESOLVED – That the minutes of the meeting held on 31 January 2013 be signed by the Chairman as a correct record.

AU 55 Procedure for Local Determination of Complaints

Consideration was given to recommendation for a revision to the procedure for determining allegations of breaches of the Code of Conduct.

RESOLVED – That Council be to approve the procedure for assessment and determination of complaints be amended as set out in paragraph 3.1 of the report.

AU 56 Governance Update

A verbal update on the current position of Governance within the Council was received and noted.

AU 57 Internal Audit New Ways of Working and Draft Internal Audit Plan 2013/14

Consideration was given to a report setting out changes to Internal Audits way of working and the Audit Plan for 2013/14. During consideration of this item it was noted that training was to be arranged by way of workshops with the other authorities in the shared service for newly constituted Audit Committees. Members felt that although this was a good approach they still would require Copeland specific training in addition.

RESOLVED – That a) the new ways of working be noted; and
b) the draft Internal Audit Plan be approved.

AU 58 Audit Monitoring Report Quarter 4 2012/13

Consideration was given to a report on the work carried out by Internal Audit in the fourth quarter of 2012/13.

RESOLVED – that the report be noted

AU 59 Strategic Risk Management

Consideration was given to the Councils Strategic Risk Register which describes the Council's identified corporate and strategic risks and controls.

RESOLVED – That the Strategic Risk Register be noted and amendments be agreed.

AU 60 Grant Thornton – Annual Grants Report 2011/12

Grant Thorntons certification work report 2011/12 was received and noted.

AU 61 Grant Thornton – Audit and Governance Update Report

Grants Thorntons update report for Audit and Governance was received and noted.

AU 62 Audit Committee to Meet with the Auditor in Private

Audit Committee Members met in private with the Auditors.

The meeting closed at 12.35pm

Chairman

Date