

**AUDIT AND GOVERNANCE COMMITTEE**

**MINUTES OF MEETING HELD ON 15 APRIL 2014**

**Present:** Mr Michael Bonner (Independent Chair)

**Councillors:** John Bowman; Peter Connolly Graham Sunderland;

An apology for absence was received from Councillors: Joan Hully; David Riley; Douglas Wilson

Councillor Gillian Troughton also attended the meeting.

**Officers:** Darienne Law Head of Corporate Resources; Peter Usher, Audit Manager; Angela George Interim Financial Services Manager; Anne Treble, Project Accountant; Denise James, Member Services Officer.

Jackie Bellard; Richard McGahon and Jackie Bellard – Grant Thornton UK

Tim Capper, Democratic Services Manager Agenda Items 1 - 6

**AU 40 Minutes**

**RESOLVED** –the minutes of the meeting held on 30 January 2014 be signed by the Chairman as a correct record.

**AU 41 Declarations of Interest**

Councillor Graham Sunderland declared a non disclosable pecuniary Interest in Agenda Item 10 - Grant Thornton Housing Benefit Subsidy Certification Plan due to being a private landlord with tenants in receipt of housing benefits.

**AU 42 Governance Update**

A verbal update on the current position on cases heard under the arrangements for determination of breaches of the Code of Conduct was received and noted.

**AU 43 Grant Thornton – Certification Report 2012/13**

Consideration was given to Grant Thornton’s certification work report for 2012/13.

**RESOLVED** - That the report be received and noted.

**AU 44 Grant Thornton – Audit Plan Year Ended March 2014**

Consideration was given to the Audit Plan Year ended 31 March 2014 prepared by Grant Thornton.

**RESOLVED** – That the report be noted.

**AU 45 Grant Thornton – Audit and Governance Committee Update**

Consideration was given to the Audit and Governance Committee update report prepared by Grant Thornton.

**RESOLVED** – That the report be noted.

**AU 46 Grant Thornton – Housing Benefit Subsidy Certification Plan**

(Note: Councillor Graham Sunderland declared a non disclosable pecuniary Interest in this item due to being a private landlord with tenants in receipt of housing benefits.)

**RESOLVED** – That the Grant Thornton’s Housing benefit Subsidy certification work plan year ended 31 March 2014 be received and noted.

**AU 47 Grant Thornton Audit Fee 14/15**

Consideration was given to the Grant Thornton Planned Audit Fee for 2014/15.

**RESOLVED** – That the Grant Thornton Planned Audit Fee for 2014/15 be noted

**AU 48 Strategic Risk Management**

Consideration was given to a report updating Members of changes to the Strategic Risk Register.

**RESOLVED** – That the amendments to the Strategic Risk Register be agreed and the outcomes of the internal audit report on risk management be noted.

**AU 49 Internal Audit Monitoring Report Quarter 4**

Consideration was given to a report on the work carried out by Internal Audit in the fourth quarter of 2012/13.

**RESOLVED** – that the report be noted

**AU 50 Internal Audit Charter**

Consideration was given to the internal audit charter setting out the arrangements for the delivery of the internal audit service.

**RESOLVED** – That the Internal Audit Charter for the delivery of internal audit services be approved.

**AU 51 Internal Audit Plan**

**RESOLVED** – That the Annual Risk Based Audit Plan be considered and approved.

**AU 52 Fraud Update**

Consideration was given to a report updating Members of the outcome of fraud investigations for 2013/14 and on progress made for the introduction of a Single Fraud Investigation Service.

**RESOLVED** – That the report be noted.

The meeting closed at 11.50 AM

Chairman.....

Date .....