

AUDIT AND GOVERNANCE COMMITTEE

MINUTES OF MEETING HELD ON 24 SEPTEMBER 2014

Present: Michael Bonner (Independent Chair)

Councillors: John Bowman; Peter Connolly; Joan Hully; Graham Sunderland

Apologies for absence were received from Councillors David Riley; Douglas Wilson

An apology for absence was also received from Jackie Bellard, Grant Thornton UK

Councillor Gillian Troughton also attended the meeting.

Officers: Angela George Interim Financial Services Manager; Carol Edgar, Peter Usher, Audit Manager; Lindsay Tomlinson Democratic Services Manager and Monitoring Officer; Denise James, Member Services Officer.

Catherine McNicholas, Policy and Performance Officer Agenda Item 10

Richard McGahon Grant Thornton UK

AU 64 Minutes

RESOLVED – The minutes of the meeting held on 25 June 2014 be signed by the Chairman as a correct record.

AU 65 Arrangement of the Agenda

RESOLVED – That Agenda item 11 be considered at Item 7 of the Agenda

AU 66 Governance Update

A verbal update on the current position on cases heard under the arrangements for determination of breaches of the Code of Conduct was received and noted.

AU 67 Audit Monitoring Report April – August

Consideration was given to a report summarising progress on internal audit work for the period April – August 2014.

RESOLVED – That the report be noted.

AU 68 The Audit Findings for Copeland Borough Council – Grant Thornton

Consideration was given to a report setting out Grant Thornton’s key findings arising from their audit of the Council’s financial statements for the year ending 31 March 2014, and an Action Plan and management response as set out in Appendix “A” on actions recommended in key areas.

It was noted that the financial statements would receive final sign-off later in the week of the meeting.

RESOLVED – that the report, the key audit findings and the action plan be noted, and the Section 151 Officer and Accountancy Team be thanked for their work in contributing to a successful audit.

AU 69 Copeland Borough Council Statements of Accounts 2013/14

Consideration was given to the Council’s Audited Statement of Accounts for the year ending 31 March 2014, which had also been the subject of a training session earlier on the day of the meeting.

RESOLVED – that the Statement of Accounts be approved.

AU 70 Letter of Representation

Consideration was given to a Letter of Representation from the Head of Corporate Resources and Section 151 Officer to the external auditors concerning the Financial Statements for the year ending 31 March 2014 and the Annual Governance Statement.

RESOLVED – That the letter of representation be agreed.

AU 71 Strategic Risk Report

To consider a report updating Members on changes to the Strategic Risk Register.

RESOLVED – That the Strategic Risk report as amended be noted.

The meeting closed at 12.10 PM

Chairman.....

Date