

AUDIT AND GOVERNANCE COMMITTEE

MINUTES OF MEETING HELD ON 30 JANUARY 2014

Present: Mr Michael Bonner (Independent Chair)

Councillors: John Bowman; Joan Hully; David Riley; Graham Sunderland; Douglas Wilson

An apology for absence was received from Councillors: Peter Connolly

Councillor Gillian Troughton also attended the meeting.

Officers: Darienne Law Head of Corporate Resources; Peter Usher, Audit Manager; Nick??????? Denise James, Member Services Officer.

Tim Capper, Democratic Services Manager Agenda Items 1 - 8

Also in attendance: Jackie Bellard, Richard McGahon and Neil Hart Grant Thornton UK

AU **Minutes**

RESOLVED – That subject to Councillor A Norwood being included in apologies and removed for the attendances the minutes of the meeting held on 7 November 2013 be signed by the Chairman as a correct record.

AU **Arrangement of the Agenda**

To consider any additional items and the order in which items are taken.

RESOLVED – That the Internal Audit Effectiveness Review be considered as Agenda Item 13.

AU **Review of Arrangements for Determining Breaches of Allegations of the Code of Conduct**

Consideration was given to a recommendation from the Constitution Working Group appointed in September 2013 to review the arrangements for determination of breaches of the Code of Conduct.

RESOLVED – That Council be recommended to agree the proposed changes to the Procedure for Local Determination of Complaints and Procedure for Hearings.

AU Review of Confidential Reporting Code

Consideration was given to a report seeking approval to changes to the Confidential Reporting Code which was last reviewed in 2008.

During consideration of this item Members asked for the document to clarify the process for complaints against the Chief Executive, paragraphs 7.1 and 7.5 of the report refers.

RESOLVED – That the proposed Changes to the Confidential Reporting Code be approved and implemented.

AU Governance Update

A verbal update on the current position on cases heard under the arrangements for determination of breaches of the Code of Conduct was received and noted.

AU Treasury Management Strategy Statement Minimum Revenue Provision Statement and Annual Investment Strategy 2014/15

Prior to the resolution of this item it was noted that Members had received full training on this item by Richard Dunlop of Capita (formerly Sector).

RESOLVED – That it be noted that the Treasury Management Strategic Statement, the Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2014/15 had been considered and scrutinised.

AU Internal Audit Quarterly Monitoring Report

RESOLVED – That the Audit Monitoring Report for April – December 2013 be received and noted.

AU Strategic Risk Register Update Report

Consideration was given to a report updating Members of changes to the Strategic Risk Register.

RESOLVED – That the Strategic Risk Register be considered and noted; and

b) the amendments to the scores and the Horizon Scanning list along with the introduction of the risk matrix to all reports be agreed.

AU Grant Thornton – Audit and Governance Committee Update for Copeland Borough Council

RESOLVED – That the Grant Thornton Audit and Governance Committee Update report for Copeland Borough Council for year ending March 2013 be received and noted.

AU Internal Audit Effectiveness Update

Consideration was given to the report commissioned by Cumbria County Council reviewing the Internal Audit Shared Service. This review is conducted in line with the requirements of the Public Sector Internal Audit Standards (PSIAS) which is mandatory for all UK public sector internal audit services.

RESOLVED – That the report and action plan be noted.

The meeting closed at 7.15 PM

Chairman.....

Date